

## Annual Governance Statement 2021-22: supporting evidence

The list below details the guidance documents and source material used to inform the production of the council's AGS 2021-22.

All material is available to Members of the Finance & Audit committee on request.

### 1. External codes of practice

Document	Details
CIPFA: <i>Delivering Good Governance Framework</i>	defines the principles that should underpin the governance of each local government organisation and provides a structure to help individual authorities with their approach.
CIPFA: <i>Application of the Good Governance Framework 2020/21</i>	covers the impact of the continuing COVID-19 pandemic on governance in local government bodies and the requirements of the <i>Delivering Good Governance in Local Government Framework</i> .
CIPFA: <i>The Role of the Chief Financial Officer in Local Government</i>	sets out how the requirements of legislation and professional standards should be fulfilled by local government CFOs in the carrying out of their role.
CIPFA: <i>Statement on the Role of the Head of Internal Audit in Public Service Organisations</i>	defines the role of the head of internal audit in public services to help ensure organisations engage with and support the role effectively.
CIPFA: <i>Financial Management Code</i>	provides guidance for good and sustainable financial management in local authorities.
CIPFA: <i>Treasury Management in the Public Services - Code of Practice</i>	developed to meet the needs of local authorities in order to provide a clear definition of treasury management activities.

## 2. Annual review of the corporate governance framework and system of internal control

Document	Details
Code of Corporate Governance	the cornerstone to the council's governance framework, the code establishes a commitment as to how the council will carry out its functions and the procedures and processes by which it will deliver its adopted Corporate Objectives.
Annual review of the corporate governance framework and system of internal control	conducted by the council's AGS Assurance Group against the adopted Code of Corporate Governance.

## 3. Sources of assurance

Document	Details
Assurance Statements	prepared across a series of governance criteria to identify any control weaknesses, statements presented by: <ul style="list-style-type: none"><li>- Chief Executive</li><li>- Directors</li><li>- Assistant Directors and other council managers</li><li>- Chief Financial Officer (s151 officer)</li><li>- Head of Internal Audit</li><li>- Monitoring Officer</li></ul>
External audit / inspection reports	Local Government & Social Care Ombudsman: Annual Review 2020-21.
Chief Audit Executive Opinion	annual opinion on the system of internal control prepared by Chief Audit Executive.